

## NORTH AMERICA INTERNATIONAL PATENT OFFICE

P.O. BOX 506, Merrifield, VA 22116, U.S.A. TEL:+886-2-8923-7350FAX:+886-2-2929-5950e-mail:winstonhsu@naipo.com.tw

FAX TO: ART UNIT: 2651

Tel: (703) 308-4825

Fax: (703) 872-9306

FROM: Winston Hsu, PATENT AGENT, REG. NO.: 41,526

**SERIAL NO.: 10/064,598** 

ATTORNEY DOCKET NO.: UTEP0002USA

SUBJECT: INFORMATION DISCLOSURE STATEMENT PRELIMINARY AMENDMENT

**TOTAL PAGES: 24 PAGES (INCLUDING COVER PAGE)** 

Winston Hsu 2004/08/13

UTEP0002USA0\_A3,D1 \_1

PTO/SB/97 (06-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, to persons are required to reapond to a collection of information unless it contains a valid OMB control number.

## Certificate of Transmission under 37 CFR 1 8

ocitificate of Transmission und	er 37 Cr K 1.8
I hereby certify that this correspondence is being facsimile States Patent and Trademark Office	transmitted to the United
on 08/13/2004 .	
Date	
Shory Lee Signature	
Sheryl Lee	
Typed or printed name of per	son signing Certificate
Note: Each paper must have its own certificate of transmiss must identify each submitted paper.	sion, or this certificate
APPLICATION NUMBER: 10/064,598 PAPERS INCLUDED:	
(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Preliminary Amendment	6 PAGES
(4) Information Disclosure Statement	6 PAGES

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1996	. no cerson:	U.S. Pater s are required to respond to a collecti	of and Trademerk Off	fice: LLS E	PTO/SB/21 (02-04)  1gh 07/31/2006. OMB 0651-0031  DEPARTMENT OF COMMERCE  BYS 8 YSIKI OMB control number		
		Application Number	10/064,598				
TRANSMITTAL		Filing Date	07/29/2002	•	·		
FORM		First Named Inventor	Shi-Hui Zhang				
(to be used for all correspondence after initial	filing)	Art Unit	2651				
		Examiner Name					
Total Number of Pages in This Submission	22	Attorney Docket Number	UTEP0002USA				
	ENC	LOSURES (Check all tha	t apply)				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abendonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attomey, Revocation  Change of Correspondence Addr  Ferminal Disclaimer  Request for Refund  CD, Number of CD(s)	ess C	o Technol ppeal Co f Appeals ppeal Co Appeal Not troprietary tatus Lett	osure(s) (please		
SIGNA	TURE O	F APPLICANT, ATTORN	EY. OR AGEN	IT .			
Firm or Individual name Signature Date Winston Hsu, Re							
CI	ERTIFIC	ATE OF TRANSMISSION	/MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name							
Signature				Date			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0851-0032 U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.									
			0 11 75 15						
FEE TRANSMITTA		Application Number		r 10	10/064,598				
for EV 2004		Filing Date			07/29/2002				
for FY 2004		First Named Inventor		or Shi	Shi-Hui Zhang				
Effective 10/01/2003, Patent Ises are subject to annual revision.		Examiner Name							
Applicant claims small entity status. See 37 CFR 1,27		Art Unit		20	2651				
TOTAL AMOUNT OF PAYMENT (\$) 0.00		Attorney Docket No.		- 1	UTEP0002USA				
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
Check Credit card Money Other None	3. ADDITIONAL FEES								
Deposit Account:	Large Entity   Small Entity								
Deposit	Fee Fee Fee Fee Fee Description			5 D-14					
Account Sumber 50-3105	1051		2051		Surcharge	:- late filing fee or oath	Fee Paid		
Deposit Account North America Intellectual Property Corp.	1052	50	2052	25 5	Surcharge	- late provisional filing fee or			
Name	1053	130	1053		cover shee	et sh specification			
The Director is authorized to: (check all thet apply)  Charge fee(s) indicated below  Credit any overpayments		2,520	1 .			request for ex parte reexamination			
Charge any additional fee(a) or any underpayment of fee(s)	1804	920*	1804			g publication of SIR prior to			
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805 1		Examiner Requestr	action ig publication of SIR after			
to the above-identified deposit account.		1,010	1.000 1,		Examiner		<b> </b>		
FEE CALCULATION	1251	110	2251			for reply within first month			
1. BASIC FILING FEE	1252		2252			for reply within second month			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Paid	1253	950 1,480	2253 2254			for reply within third month			
Code (\$) Code (\$)		2.010				for reply within fourth month for reply within fifth month	$\vdash$		
1001 770 2001 385 Utility filing fee	1401	330	2401			• •			
1002 340 2002 170 Design filling fee	1402	330	2402		Notice of Fillog a br	Appeal lef in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403		2403		_	or oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451 1			Institute a public use proceeding			
SUBTOTAL (1) (\$) 0.00	1452	110	2452			revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665 J	Petition to	revive - unintentional			
Fee from		1,330	2501			e fee (or reissue)	<b></b>		
Total Claims Extra Claims below Fee Paid	1502	480	2502		Design is:		I		
Independent Claims X X	1503 1460	540 130	2503 1460		Plant issu Petitions i	te tee to the Commissioner			
Multiple Dependent	1807	50	1807			ig fee under 37 CFR 1.17(q)			
Large Entity   Small Entity	1806	180	1806			on of Information Disclosure Strat			
F44 F49 Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	∡n F	Recording	each patent assignment per			
1202 18 2202 9 Claims in excess of 20	1809			F	property (	imes number of properties)	<u> </u>		
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809		(37 CFR 1	ibmlasion after final rejection 1.129(a))			
1203 290 2203 145 Multiple dependent clalm, if not paid	1810	770	2810			edditional invention to be (37 CFR 1.129(b))			
1204 86 2204 43 ** Reissue Independent claims over original patent	1801	770	2801			for Continued Examination (RCE)			
1205 18 2205 9 ** Relssue claims in excess of 20	1802		1802	900	Request	for expedited examination			
and over original patent	OH	ا	 	•	of a desig	n application			
SUBTOTAL (2) (\$) 0.00		fee (sp uced by		ing Fee	e Paid	CUITOTAL (2) (A) CCC	<del></del>		
**or number previously paid, if greater, For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00									
SUBMITTED BY	- 1	Registra	tion No			(Complete (# applicable))			
Name (Print/Type) Winston Hsu		Attorney/		41,5	26	Telephone 886289237350	) '``````````\		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.